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Understand the responsibilities of a security manager. Use these templates and forms to prepare for registration. Access information detailing CFTC registration and NFA membership.

NFA is the industrywide, self-regulatory organization for the U.S. derivatives industry, including on-exchange traded futures, retail of-exchange foreign currency (forex) and OTC derivatives ...

Common Exam Findings and How to Prepare for an NFA Exam: Swaps Member Regulatory Workshop

This page gives a overview of the National Futures Association (NFA) functions, staff and membership.

NFA's Information Center representatives are available from 8:00 a.m. to 5:00 p.m. Central Time, Monday through Friday, to answer Member and investor questions and provide additional ...

Designated by the CFTC as a registered futures association, NFA strives every day to safeguard the integrity of the derivatives markets, protect investors and ensure Members meet their ...

BASIC is a free tool that NFA Members and investors can use to research the background of derivatives industry professionals. Whether you are an investor thinking about opening a ...

This page contains a list of NFA member types with links to additional resources pertaining to each member

Electronic Filing Systems Members should use the systems listed below to meet their regulatory filings requirements. To use NFA's filing systems, firms will need to designate a security ...

Please note: You are accessing a system containing CFTC records. Your usage may be monitored, recorded, and subject to audit, and, by your use, you consent to ...

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